

The authors express their gratitude to Professor I. Ia. Pomeranchuk for the suggestion of this problem and V. V. Sudakov for his valuable advice.

\* The corresponding expression for  $\sigma_d$  in the article of Berestetskii<sup>1</sup> contains several misprints.

<sup>1</sup> V. B. Berestetskii and I. Ia. Pomeranchuk, Dokl. Akad. Nauk SSSR **81**, 1019 (1951).

<sup>2</sup> Rochester conference, 1955.  
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## On the Theory of Galvanomagnetic Effects in Metals

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**I**N this communication we develop the theory of galvanomagnetic effects in metals, without making any special assumptions on the law of dispersion of conduction electrons or on the form of the collision integral.

1. An electron in a metal is here taken to be a quasiparticle carrying a charge  $e$ , with energy  $\epsilon$  and a quasi-momentum  $\mathbf{p}$ ;  $\epsilon$  is a periodic function of  $\mathbf{p}$ , with the period of the reciprocal lattice. With an electron moving in a constant magnetic field  $\mathbf{H}$  ( $H_x = H_y = 0$ ;  $H_z = H$ ),  $\epsilon$  and  $p_z$  remain unaltered. Thus, in the momentum zone an electron moves along the curve

$$\epsilon(\mathbf{p}) = \text{const}; \quad p_z = \text{const}. \quad (1)$$

From the equations of motion,  $d\mathbf{p}/dt = (e/c)[\mathbf{v}, \mathbf{H}]$  ( $\mathbf{v} = \nabla_{\mathbf{p}}\epsilon$ ) we obtain:

$$t = -(c/eH) \int dl / v_{\perp}. \quad (2)$$

Here  $dl$  represents an element of arc of curve (1), taken in the direction of motion, while  $v_{\perp}$  represents a projection of velocity vector upon the plane  $(x, y)$ . The character of an electron's motion along a trajectory within the momentum zone actually depends on whether curve (1) is closed (i.e., whether it consists of a series of closed curves each of which is disposed within the limits of a single space in the reciprocal lattice)\*, or whether it is open (i.e., whether it passes uninterruptedly

through the entire reciprocal lattice). If curve (1) is closed, then the transition along this curve is

$$T = -\frac{c}{eH} \oint \frac{dl}{v_{\perp}} = -\frac{2\pi m^* c}{eH}; \quad m^* = \frac{1}{2\pi} \frac{\partial S}{\partial \epsilon}; \quad (3)$$

where  $S = S(\epsilon, p_z)$  corresponds to the area of intersection of surface  $\epsilon(\mathbf{p}) = \text{const}$  with plane  $p_z = \text{const}$ .

The quantity  $m^*$  can actually be called the effective mass of an electron in a magnetic field. The sign of this effective mass (and of the period as well) depends on whether the energy within the surface  $\epsilon(\mathbf{p}) = \epsilon$  is smaller than  $\epsilon(m^* > 0)$  or larger than  $\epsilon(m^* < 0)$ ; this criterion does not hold true for the intersecting curves. It should be noted here that the concept of effective mass cannot be introduced for open trajectories.

2. While describing the state of an electron in a magnetic field it is proper to use variables  $\epsilon, p_z$  and a dimensionless variable  $\tau = t/T_0$ , indicating the location of an electron in the trajectory (1) within the momentum zone ( $T_0 = 2\pi m_0/eH$ , with  $m_0$  representing the mass of a free electron).  $T_0$  was introduced for the sake of convenience, so as to free  $\tau$  from its dependence on  $H$ . The kinetic equation for the distribution function of  $f$  in the variable chosen by us is

$$\frac{\partial f}{\partial \tau} \dot{\tau} + \frac{\partial f}{\partial p_z} \dot{p}_z + \frac{\partial f}{\partial \epsilon} \dot{\epsilon} + \left( \frac{\partial f}{\partial t} \right)_{\text{cr}} = 0. \quad (4)$$

(In studying a stationary case, the values of  $\dot{\tau}, \dot{p}_z, \dot{\epsilon}$  are obtained from the equations of motion). Assuming that  $f = f_0 - e t_0 \psi_i E_i$ , we align (4) along the electric field  $E$ . Noting that  $\dot{\epsilon} = e\mathbf{v}E$ ;  $\dot{p}_z = eE_z$ ,  $\dot{\tau} = 1/T_0$ , we obtain

$$\begin{aligned} \partial \psi_i / \partial \tau + \gamma \hat{W} \psi_i &= \gamma f'_0(\epsilon) v_i; \\ \hat{W} \psi_i &= t_0 (\partial \psi_i / \partial t)_{\text{cr}}; \quad \gamma = H_0 / H; \end{aligned} \quad (5)$$

where  $f_0$  represents the equilibrium Fermi function,  $t_0$  represents the characteristic time of relaxation, and  $T_0(H_0) = t_0$ . The limiting condition for Eq. (5) is represented, for closed curves, by the condition of periodicity (with a period of  $T/T_0$ ) of the function  $\psi_i$  and, for open trajectories, by the boundedness of the function  $\psi_i$ .

As the mean value of Eq. (5) we obtain

$$\overline{\hat{W} \psi_i} = f'_0(\epsilon) \bar{v}_i. \quad (6)$$

The prime in the above equation indicates that the mean value was obtained with respect to  $\tau$ . For the closed curves, assuming that  $2\pi m_0 v_x = -\partial p_y / \partial \tau$ ,  $2\pi m_0 v_y = \partial p_x / \partial \tau$ , we obtain  $\bar{v}_z = 0$  ( $\alpha = x, y$ ).

3. We will find the solution for Eq. (5) under conditions (6) for large fields ( $\gamma \ll 1$ ), in the form of a series\* with interval  $\gamma$ . Computations show that for closed curves (1)

$$\begin{aligned} \psi_x &= \gamma [-(f'_0 / 2\pi m_0) p_y + C_x] + \gamma^2 \psi_x^{(2)} + \dots, \\ \psi_y &= \gamma [(f'_0 / 2\pi m_0) p_x + C_y] + \gamma^2 \psi_y^{(2)} + \dots, \\ \psi_z &= C_z + \gamma \psi_z^{(1)} + \dots, \end{aligned} \quad (7)$$

$C_i$  represents solely the functions of  $\epsilon, p_z$ . For the open curves (1):  $\psi_i = C_i^{(0)} + \gamma \psi_i^{(1)} + \dots$ . The functions  $\psi_i^{(k)}$ ,  $C_i$  are determined by means of Eqs. (5) and (6), and are dependent on the collision integral. The fact that the zero member is absent in the expansion of  $\psi_\alpha$  for the case of closed curves is related to the condition  $v_\alpha = 0$ . Here we assume that the interval  $\delta\epsilon \sim \theta$  of the smearing out of the Fermian function  $f_0$  (near  $\epsilon_0$ ) does not contain open trajectories ( $\epsilon_0 =$  energy limit at absolute zero;  $\theta =$  temperature).

4. Making use of Eq. (7), it is possible to represent the conductivity tensor  $\sigma_{ik}$ , in the case when interval  $\delta\epsilon \sim \theta$  of the spreading out of the Fermi function  $f_0$  contains only closed trajectories, in the form

$$\sigma_{ik}(H) = \begin{pmatrix} \gamma^2 a_{xx} & \gamma a_{xy} & \gamma a_{xz} \\ \gamma a_{yx} & \gamma^2 a_{yy} & \gamma a_{yz} \\ \gamma a_{zx} & \gamma a_{zy} & a_{zz} \end{pmatrix}, \quad (8)$$

in which the subdivision of the factors of matrix  $a_{ik}$  begins with the zero value of member  $\gamma$ .

The factor  $a_{xy}$  is not dependent on the collision integral when  $\gamma$  approaches zero. Making use of expression (7), we obtain:

$$\begin{aligned} a_{xy}^{(0)} &\sim \int f'_0 d\epsilon \int dp_z \int p_y \partial p_x / \partial \tau \cdot d\tau \\ &= \int f'_0 d\epsilon \int dp_z \oint p_y dp_x. \end{aligned} \quad (9)$$

Assuming that  $f'_0(\epsilon) = -\delta(\epsilon - \epsilon_0)$ , we find  $a_{xy}^{(0)} \sim V_1 - V_2 \sim n_1 - n_2$ . Here  $V_1 - V_2$  are the volumes bounded by the closed surfaces  $\epsilon(\mathbf{p}) = \epsilon_0$ , within which  $\epsilon < \epsilon_0$  ( $m^* > 0$ ) and conversely,  $\epsilon > \epsilon_0$  ( $m^* < 0$ ); here  $n_1$  represents the number of bound electronic states with a positive mass  $m^*$ , and  $n_2$  represents the number of free electronic states with a negative effective mass. In this way the magnitudes of  $n_1$  and  $n_2$  in the following theory may be properly considered as the number of electrons and "holes". It must be emphasized here that the introduction of these concepts is possible only in the case where the energy interval  $\delta\epsilon$  contains only closed nonintersecting surfaces of constant energy. In this case we obtain asymptotically (for large fields)  $\sigma_{xy} = ec(n_1 - n_2)/H$ ; at  $n_1 = n_2$   $a_{xy} \sim \gamma$ , that is,  $\sigma_{xy} \sim H^{-2}$ . In the case of closed trajectories on open surfaces, the integral (9) has no such simple meaning and cannot be expressed in terms of a number of particles.

In the case when integral  $\delta\epsilon \sim \theta$  contains a layer of open trajectories, the quantities  $\sigma_{ik}$  approach a finite limit as  $H \rightarrow \infty$ . This is related to the fact that the expansion of all the  $\psi_1$ , in this case begins with the zero term in  $\gamma$ . Consequently, experimental determination of the asymptoticity of  $\sigma_{ik}(H)$  in strong magnetic fields allows us, in principle, to explain the topology of isoenergetic surfaces near the limiting value of Fermi energy.

5. The specific resistance of a metal in a magnetic field is usually measured by making use of the Hall effect. Assuming that magnetic field is perpendicular to the direction of current (an assumption commonly made for this experiment), and choosing the direction of current for the  $x$ -axis, we obtain  $\rho(H) = (\sigma^{-1})_{xy}$ . If there are no open surfaces within the interval  $\epsilon$ , then, making use of expression (2) we find that

$$\rho = [a_{yy}^{(0)} a_{zz}^{(0)} + (a_{yz}^{(0)})^2] / (a_{xy}^{(0)})^2 a_{zz}^{(0)}$$

approaches saturation when the number of "holes" is not equal to the number of electrons ( $n_1 \neq n_2$ ). When the number of "holes" is equal to the number of electrons ( $n_1 = n_2$ ) and  $a_{xy}^{(0)}$  becomes zero, it becomes necessary to consider the subsequent terms in the expansion of  $\sigma_{ik}$  in respect to  $\gamma$ . This introduces a quadratic increase of resistance with a magnetic field. Consequently, the existence of a group of metals with an indefinitely increasing resistance in a magnetic field, which is known from experiment<sup>1</sup>, can be explained by the most general assumptions of the electronic theory of metals. A similar result has been previously arrived at in the simplest common cases<sup>2</sup>.

Apparently the law of Kapitza<sup>3</sup> (linear increase of resistance with magnetic field) represents a transition zone between the quadratic relation of resistance in small fields<sup>4</sup> and quadratic relation with a different coefficient in strong fields.

Consideration of the departure of the temperature from zero leads to the saturation of resistance even in the case when  $n_1 = n_2$ . However, this saturation is reached in very strong fields  $H \sim H_0 \approx \Delta\epsilon/\theta$ , where  $\Delta\epsilon$  represents the distance between the final Fermi surface and the nearest open surface.

In the case when energy interval  $\delta\epsilon$  contains open surfaces (or when the Fermi surface itself is open) then, as can be seen from the above, the absolute resistance  $\rho$  approaches saturation at  $H \gg H_0$ . The entire matter actually depends on the temperature. The point of greatest interest here lies in measuring of asymptoticity of the Hall coefficient  $R = \rho_{xy} H$  in large fields. For the closed surfaces,  $R$  does not depend on the direction and is

equal to  $R = 10ec(n_1 - n_2)(n_1 \mp n_2)$ . In the case of open surfaces, the magnitude and even the sign of  $R$  depends on the field.

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\* It should be noted that if plane  $(x, y)$  does not coincide with any of the crystallographic planes, then the curves are not identical.

\* If we assume, as is commonly done, that  $W \equiv 1$ , then Eqs. (5) and (6) can be easily solved for any values of  $\gamma$ . In this way we obtain

$$\psi_i = \gamma \int_0^{\infty} e^{-\gamma\tau'} v_i(\tau - \tau') d\tau' \cdot f'_0(\varepsilon),$$

and for conductivity  $\sigma_{ik}$  we obtain the expression

$$\sigma_{ik} = \frac{2e^2 t_0}{h^3} \int \varphi_{ik}(\varepsilon, p_z) f'_0(\varepsilon) (dp);$$

$$\varphi_{ik} = \gamma \int_0^{\infty} e^{-\gamma\xi} v_i(\tau) v_k(\tau + \xi) d\xi.$$

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<sup>2</sup> D. I. Blokhintsev and L. Nordheim, *Z. Physik* **84**, 168 (1933). T. Kontorova and Ia. I. Frenkel, *J. Exper. Theoret. Phys. USSR* **5**, 405 (1935); B. I. Davidov and I. Ia. Pomeranchuk, *J. Exper. Theoret. Phys. USSR* **9**, 1295 (1939); E. Sondheimer and A. Wilson *Proc. Roy. Soc. (London)* **A 190** 435 (1947); M. Kohler, *Ann Physik* **6**, 18 (1949); G. E. Zilberman, *J. Exper. Theoret. Phys. USSR* **29**, 762 (1955). *Soviet Phys.* **1**, 611 (1956).

<sup>3</sup> P. L. Kapitza, *Proc. Roy. Soc. London*, **A 123**, 292 (1929).

<sup>4</sup> H. Jones and C. Zener, *Proc. Roy. Soc. (London)* **A 145** 268 (1934).

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Beginning with the present (August, 1956), *Soviet Physics JETP* will appear monthly. Volume 3 (August, 1956-January, 1957) will contain translations of all articles appearing in Volume 30 of the *Journal of Experimental and Theoretical Physics of the USSR* (January-June, 1956). Volume 4 (February-July, 1957) will be a translation of Volume 31 (July-December, 1956) of the *Soviet Journal*.

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2	434	2	22	27.3 $\mu$	23.7 $\mu$
2	557	Fig. 10			On the right hand side, abscissa values should read 0, 200, 400, 600, 800, 1000.
2	591	2	7	$A = \frac{e^2 H_{00}^2 \delta_{00}^2}{mc^2}$	$A = \frac{e^2 H_{00}^2 \delta_{00}^2}{mc^2}$
2	754	1	3 ff.	_____	<sup>14</sup> B. B. Kinsey and G. A. Bartholomew, Phys. Rev. <b>82</b> , 380 (1951). <sup>15</sup> B. B. Kinsey and G. A. Bartholomew, Phys. Rev. <b>83</b> , 234 (1951).
2	771	1	10	Intermediate State	Intermediate State of Tin
	771	1	19	sphere of lead	sphere of tin
3	145	1	1	$R = 10 ec$	$R = 1/ec$